

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
**07K8-110555**

In Re Application Of: **Robert A. Breton et al.**

Serial No.

Filing Date

Examiner

Group Art Unit

Title: **POLYVINYL ALCOHOL-BASED FILM EXHIBITING IMPROVED ADHESION  
AND METHOD FOR MAKING IT**

Address to:  
**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 19-1853  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☒ Charge any additional fee required.

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**Certificate of Mailing by First Class Mail**

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Dated: March 19, 2004

  
James R. Brueggemann, Esquire

Registration No. 28,286

Sheppard, Mullin, Richter & Hampton LLP

333 South Hope Street, 48th Floor

Los Angeles, California 90071

(213) 620-1780

CC:

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	ATTY DOCKET NO. <b>07K8-110555</b>		SERIAL NO.
	Robert A. Breton et al.		
	FILING		GROUP

<b>U.S. PATENT DOCUMENTS</b>
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*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2,237,567	04/08/41	Land	88	65	
	4,292,370	09/29/81	Pekko	428	355	
	4,293,585	10/06/81	Imada et al.	427	40	
	4,691,662	09/08/87	Roppel et al.	118	50.1	
	4,791,303	12/13/88	Wyslowsky	250	492.1	
	4,818,624	04/04/89	Downey Jr.	428	447	
	4,992,218	02/12/91	Sugio et al.	264	1.3	
	5,051,309	09/24/91	Kawaki et al.	428	332	
	5,071,906	12/10/91	Tanaka et al.	524	557	
	5,326,584	07/05/94	Kamel et al.	427	491	
	5,670,092	09/23/97	Starzewski	252	585	

<b>FOREIGN PATENT DOCUMENTS</b>
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DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>
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EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	<b>Robert A. Breton et al.</b>	
	FILING	GROUP

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,780,359	07/14/98	Brown et al.	438	659	
	5,876,753	03/02/99	Timmons et al.	427	488	
	5,928,527	07/27/99	Li et al.	216	67	
	6,220,703	04/24/01	Evans et al.	351	163	
	6,235,396	05/22/01	Dixon	428	412	
	6,339,206	01/15/02	Johnson	219	121.43	
	6,413,641	07/02/02	Yamasaki et al.	428	412	
	6,431,112	08/13/02	Sill et al.	118	723 E	
	6,512,562	01/28/03	Kobayashi et al.	349	122	
	6,521,300	02/18/03	Hsieh et al.	427	539	
	6,583,929	06/24/03	Hayashi	359	491	

**FOREIGN PATENT DOCUMENTS**

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
					YES	NO

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*


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**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,632,324	10/14/03	Chan	156	345.48	

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		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

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